

JPW

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Daniel Perreault  
Application No.: 10/826035  
Filed: April 16, 2004  
For: STENT CRIMPER  
Group Art Unit: 3725

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No.: S63.2B-10885-US01

## TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 1 page transmittal letter, we are submitting the attached:  
**4 page Information Disclosure Statement; 4 page form listing cited references; copy of 1 reference and Postcard.**
2. With respect to fees:  
☒ No additional fee is required.  
☐ Attached is check(s) in the amount of \$  
☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**  
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.
4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

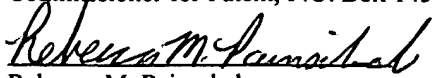
VIDAS, ARRETT &amp; STEINKRAUS

Date: July 13, 2004By: 

Jeremy G. Laabs  
Registration No.: 53170

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
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**Certificate Under 37 CFR 1.8:** I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop Amendment, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on July 13, 2004.

  
Rebecca M. Painschab



PATENT

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<b>Application No.:</b>	<b>10/826035</b>
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Docket No.: S63.2B-10885-US01**

**INFORMATION DISCLOSURE STATEMENT**

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

  X   **I.** This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- X   (1) within 3 months of the filing date of the application (other than a CPA); or
- (2) within 3 months of entry of the national stage; or
- X   (3) before the mailing of a first Office Action on the merits;
- (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- (5) as part of a continued prosecution application (CPA); or
- (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

       **II.** This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- (1) a certification as specified in §1.97(e) is provided below; or
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

\_\_\_\_\_ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- \_\_\_\_\_ (1) a certification as specified in §1.97(e) is completed below; and  
\_\_\_\_\_ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

**X** **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

***If paragraph II.1 or III is checked, also check one of the paragraphs below***

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

\_\_\_\_\_ This communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


***For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.***

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

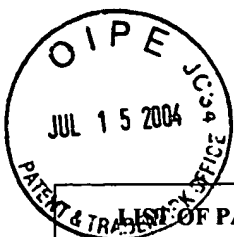
Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

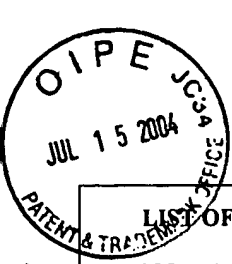
Date: July 13, 2004

By:   
Jeremy G. Laabs  
Registration No.: 53170

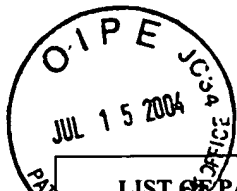
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<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2B-10885-US01		<b>APPLICATION NO.:</b> 10/826035	
				<b>APPLICANT:</b> Daniel Perreault			
				<b>FILING DATE:</b> April 16, 2004		<b>GROUP:</b> 3725	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>	
	AA	2002/0035774 A1	3/28/02	Austin	29/516		
	AB	2002/0138996 A1	10/3/02	Ohtomo et al.	33/286		
	AC	2002/0161426 A1	10/31/02	Iancea	623/1.11		
	AD	2003/0056360 A1	3/27/03	Brown et al.	29/516		
	AE	2003/0150250 A1	8/14/03	Shortt	72/235		
	AF	2003/0192164 A1	10/16/03	Austin	29/505		
	AG	565,257	8/4/1896	Boyd			
	AH	758,195	4/26/1904	Schweinert et al.			
	AI	1,665,915	4/10/28	Ekman			
	AJ	1,889,795	12/6/32	Smith et al.			
	AK	2,292,421	8/11/42	Wolf	29/88.2		
	AL	2,751,077	6/19/56	Latin et al.	207/4		
	AM	2,887,222	5/19/59	Latin et al.	207/4		
	AN	2,986,192	5/30/61	Macleod	153/1		
	AO	3,416,352	12/17/68	Ribback et al.	72/402		
	AP	3,664,213	5/23/72	Anati	81/91 R		
	AQ	3,695,087	10/3/72	Tuberman	72/402		
	AR	3,731,518	5/8/73	Blocher	72/402		
	AS	4,434,645	3/6/84	Svercl et al.	72/402		
	AT	4,567,650	2/4/86	Balyasny et al.	29/822		
	AU	4,578,982	4/1/86	Schrock	72/402		
	AV	4,854,031	8/8/89	Eisenzimmer	29/508		
	AW	4,942,756	7/24/90	Charzewski	72/399		
	AX	5,026,377	6/25/91	Burton et al.	606/108		
	AY	5,138,864	8/18/92	Tarpill	72/410		
	AZ	5,183,085	2/2/93	Timmermans	140/89		
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

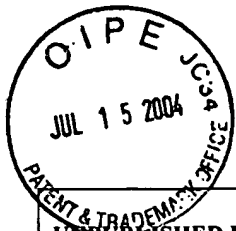


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<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>	
	AA	5,243,846	9/14/93	Davis et al.	72/402		
	AB	5,261,263	11/16/93	Whitesell	72/410		
	AC	5,262,263	11/16/93	Ohkawa et al.	430/66		
	AD	5,381,686	1/17/95	Thorup	72/453.06		
	AE	5,437,083	8/1/95	Williams et al.	29/235		
	AF	5,509,184	4/23/96	Herrero	29/252		
	AG	5,546,646	8/20/96	Williams et al.	29/407.08		
	AH	5,626,604	5/6/97	Cottone, Jr.	606/198		
	AI	5,630,830	5/20/97	Verbeek	606/198		
	AJ	5,672,169	9/30/97	Verbeek	606/1		
	AK	5,692,294	12/2/97	Casey	29/753		
	AL	5,715,723	2/10/98	Owens	72/402		
	AM	5,725,519	3/10/98	Penner et al.	606/1		
	AN	5,738,674	4/14/98	Williams et al.	606/1		
	AO	5,746,764	5/5/98	Green et al.	606/194		
	AP	5,752,405	5/19/98	Gerst et al.	72/312		
	AQ	5,810,873	9/22/98	Morales	606/198		
	AR	5,836,952	11/17/98	Davis et al.	606/108		
	AS	5,860,966	1/19/99	Tower	606/1		
	AT	5,893,852	4/13/99	Morales	606/108		
	AU	5,911,752	6/15/99	Dustrude et al.	623/1		
	AV	5,920,975	7/13/99	Morales	29/282		
	AW	5,931,581	8/3/99	Morales	606/194		
	AX	5,951,540	9/14/99	Verbeek	606/1		
	AY	5,974,652	11/2/99	Kimes et al.	29/516		
	AZ	5,992,000	11/30/99	Humphrey et al.	29/516		
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>				
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<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>	
	AA	6,009,614	1/4/00	Morales	29/516		
	AB	6,018,857	2/1/00	Duffy et al.	29/407.01		
	AC	6,051,002	4/18/00	Morales	606/108		
	AD	6,063,102	5/16/00	Morales	606/198		
	AE	6,074,381	6/13/00	Dinh et al.	606/1		
	AF	6,082,990	7/4/00	Jackson et al.	425/517		
	AG	6,108,886	8/29/00	Kimes et al.	29/280		
	AH	6,125,523	10/3/00	Brown et al.	29/516		
	AI	6,141,855	11/7/00	Morales	29/516		
	AJ	6,167,605	1/2/01	Morales	29/282		
	AK	6,240,615	6/5/01	Kimes et al.	29/516		
	AL	6,309,383	10/30/01	Campbell et al.	606/1		
	AM	6,352,547	3/5/02	Brown et al.	606/198		
	AN	6,360,577	3/26/02	Austin	72/402		
	AO	6,387,117	5/14/02	Arnold, Jr. et al.	623/1.1		
	AP	6,481,262	11/19/02	Ching et al.	72/416		
	AQ	6,568,235	5/27/03	Kokish	72/402		
	AR	6,629,350	10/7/03	Motsenbocker	29/283.5		
	AS	6,651,478	11/25/03	Kokish	72/402		
	AT						
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<b>UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)</b>		<b>ATTY DOCKET NO.:</b> S63.2B-10885-US01		<b>APPLICATION NO.</b> 10/826035	
		<b>APPLICANT:</b> Daniel Perreault			
		<b>FILING DATE:</b> April 16, 2004		<b>GROUP:</b> 3725	
<b>UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY</b>					
<b>EXAM'S INIT.</b>		<b>APPLICATION NO.</b>	<b>FILING DATE</b>	<b>INVENTOR(S)</b>	
	DA	10/788,088	2/26/04	Weber et al.	
	DB				
	DC				
	DD				
	DE				
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>	
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<b>US APPLICATIONS REALTED BY PRIORITY</b>						
<b>EXAM'S INIT</b>		<b>APPLICATION NO.</b>	<b>FILING DATE</b>	<b>PATENT NO.</b>	<b>INVENTOR(S)</b>	<b>FORM 1449/892 ENC</b>
	EA					
	EB					
	EC					
	ED					
	EE					
	EF					
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